CITY OF LONDON INVESTMENT GROUP PLC

Form 4 March 02, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB 3235-0287 Number:

OMB APPROVAL

January 31,

Expires:

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

2005

0.5

Estimated average burden hours per

response...

subject to Section 16. Form 4 or Form 5

if no longer

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

2. Issuer Name and Ticker or Trading

ABERDEEN EMERGING

MARKETS SMALLER CO

obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

Symbol

1(b).

GROUP PLC

(Print or Type Responses)

1. Name and Address of Reporting Person *

CITY OF LONDON INVESTMENT

				RETS SMALLER RTUNITIES FUN			er (give title	10% Owner Other (specify	
(Last) (First) (Middle) 77 GRACECHURCH STREET				of Earliest Transactio Day/Year) 2018	n	below)	belov	N)	
LONDON	(Street)	4. If Amendment, Date Original Filed(Month/Day/Year)			Applicable L Form fil	6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting Person _X_ Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Tak	ble I - Non-Derivativ	e Securities	Acquired, Dispo	osed of, or Bene	ficially Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	3. 4. Securi Transaction(A) or D Code (Instr. 3, (Instr. 8)	(A) or	D) Securities Beneficially Owned Following Reported Transaction(:	Ownership Form: Direct (D) or Indirect (I) s) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock, par value \$.01 per share						291,479 <u>(13</u>	<u>3)</u> I	As investment adviser to a private investment fund (14)	
Common Stock, par value \$.01 per share (2)						149,487 <u>(1</u>	<u>3)</u> I	As investment adviser to a private investment fund (14)	

Common Stock, par value \$.01 per share (3)						284,179 (13)	I	As investment adviser to a private investment fund (14)
Common Stock, par value \$.01 per share (4)	03/01/2018	03/01/2018	S	98,196 D	\$ 15.1	191,733 (13)	I	As investment adviser to a private investment fund (14)
Common Stock, par value \$.01 per share						221,327 (13)	I	As investment adviser to a private investment fund (14)
Common Stock, par value \$.01 per share (6)						168,705 (13)	I	As investment adviser to a Dublin, Ireland-listed open-ended investment company (14)
Common Stock, par value \$.01 per share (7)						201,705 (13)	I	As investment adviser to a private investment fund (14)
Common Stock, par value \$.01 per share						287,335 (13)	I	As investment adviser to a private investment fund (14)
Common Stock, par value \$.01 per share						73,865 (13)	I	As investment adviser to a private investment fund (14)
Common Stock, par value \$.01 per share						117,276 (13)	I	As investment adviser to a private investment fund (14)
Common Stock, par value \$.01 per share (11)	03/01/2018	03/01/2018	Р	98,196 A	\$ 15.1	98,196 (13)	I	As investment adviser to a private investment fund (14)

1,895,603	I	unaffiliated third-party segregated accounts (14)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	5. ctionNumber of Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	3	ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
CITY OF LONDON INVESTMENT GROUP PLC 77 GRACECHURCH STREET LONDON, X0 EC3V0AS		X				
CITY OF LONDON INVESTMENT MANAGEMENT CO LTD 77 GRACECHURCH STREET LONDON, X0 EC3V0AS	X					
Signatures						
/s/ Thomas Griffith, Director - City of London Group PLC		03/02/2018	}			
**Signature of Reporting Person		Date				
/s/ Thomas Griffith, Director - City of London Investment Management		03/02/2018				

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**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These securities are beneficially owned by Emerging (BMI) Markets Country Fund.
- (2) These securities are beneficially owned by Emerging Markets Free Fund.
- (3) These securities are beneficially owned by Emerging Markets Global Fund.
- (4) These securities are beneficially owned by Emerging Markets Investable Fund.
- (5) These securities are beneficially owned by Global Emerging Markets Fund.
- (6) These securities are beneficially owned by The Emerging World Fund.
- (7) These securities are beneficially owned by Emerging Free Markets Country Fund.
- (8) These securities are beneficially owned by Emerging Markets Country Fund.
- (9) These securities are beneficially owned by Investable Emerging Markets Country Fund.
- (10) These securities are beneficially owned by The EM Plus CEF Fund.
- (11) These securities are beneficially owned by EM Special Situations CEF Focused Fund.
- (12) These securities are beneficially owned by 13 unaffiliated third-party segregated accounts.
- (13) No one direct beneficial owner of the reported securities owns more than 5% of the outstanding securities of Issuer.
- As of the date hereof, City of London Group PLC ("CLIG"), through its control of City of London Investment Management Company (14) Limited ("CLIM"), and CLIM, in its capacity as investment adviser to the funds listed above and the 13 unaffiliated third-party segregated accounts, have voting and dispositive power with respect to all of the reported securities.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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