

Edgar Filing: NHANCEMENT TECHNOLOGIES INC - Form 4

NHANCEMENT TECHNOLOGIES INC

Form 4

January 05, 2001

U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

Check box if no longer subject of Section 16. Form 4 or Form 5 obligations  
may continue. See Instruction 1(b).

1. Name and Address of Reporting Person\*

|              |         |          |
|--------------|---------|----------|
| BALDWIN, III | L.      | THOMAS   |
| (Last)       | (First) | (Middle) |

141 WEST JACKSON BOULEVARD, SUITE #2850

(Street)

|         |         |       |
|---------|---------|-------|
| CHICAGO | IL      | 60606 |
| (City)  | (State) | (Zip) |

NHANCEMENT TECHNOLOGIES, INC. (NHAN)

2. Issuer Name and Ticker or Trading Symbol

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

AUGUST, 2000

4. Statement for Month/Year

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person to Issuer  
(Check all applicable)

|   |  |
|---|--|
| <input type="checkbox"/> Director                   | <input checked="" type="checkbox"/> 10% Owner  |
| <input type="checkbox"/> Officer (give title below) | <input type="checkbox"/> Other (specify below) |

7. Individual or Joint/Group Filing (Check applicable line)

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[X] Form filed by one Reporting Person  
 [\_] Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,  
 or Beneficially Owned

| 1.<br>Title of Security<br>(Instr. 3) | 2.<br>Transaction<br>Date<br>(mm/dd/yy) | 3.<br>Transaction<br>Code<br>(Instr. 8) |   | 4.<br>Securities Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) |                  | Price   |
|---------------------------------------|---|---|---|--|------------------|---------|
|                                       |   | Code                                    | V | Amount   | (A)<br>or<br>(D) |         |
| COMMON STOCK, \$.01 par value         | 08/21/00                                | P                                       |   | 65,800   | A                | \$12.94 |
| COMMON STOCK, \$.01 par value         | 08/22/00                                | P                                       |   | 123,755  | A                | \$13.82 |
| COMMON STOCK, \$.01 par value         | 08/23/00                                | P                                       |   | 199,900  | A                | \$15.02 |
| COMMON STOCK, \$.01 par value         | 08/24/00                                | P                                       |   | 74,090   | A                | \$14.53 |
| COMMON STOCK, \$.01 par value         | 08/25/00                                | P                                       |   | 3,700  | A                | \$14.39 |
| COMMON STOCK, \$.01 par value         | 08/28/00                                | P                                       |   | 12,900   | A                | \$14.27 |
| COMMON STOCK, \$.01 par value         | 08/29/00                                | P                                       |   | 34,400   | A                | \$14.84 |
| COMMON STOCK, \$.01 par value         | 08/31/00                                | P                                       |   | 10,000   | A                | \$14.19 |

\* If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(1) On 8/24/00 and 8/29/00, Rosenthal Collins Equities, L.L.C. transferred 788,295 shares and 300,000 shares, respectively, to its affiliate,

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Rosenthal Collins Group, L.L.C.. Indirect beneficial ownership remains in this reporting person.

(Print or Type Responses)

(Over)

(Form 4-07/99)

FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1.<br>Title of Derivative Security (Instr. 3) | 2.<br>Conversion or Exercise Price of Derivative Security | 3.<br>Transaction Date (Month/Day/Year) | 4.<br>Transaction Code (Instr. 8) Code V | 5.<br>Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) (D) | 6.<br>Date Exercisable and Expiration Date (Month/Day/Year) Exer- tion Date | 7.<br>Title and Amount of Underlying Securities (Instr. 3 and 4) Amount or Number of Shares |
|---|---|---|--|---|---|---|
| N/A   |   |   |  |   |   |   |
|   |   |   |  |   |   |   |
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Explanation of Responses:

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/s/ L. Thomas Baldwin III

09/11/00

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\*\*Signature of Reporting Person

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Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

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