### Edgar Filing: ALEXANDRIA REAL ESTATE EQUITIES INC - Form 3

#### ALEXANDRIA REAL ESTATE EQUITIES INC

Form 3 July 07, 2017

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005

Estimated average burden hours per

response...

**SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Woronoff Michael A

(Last)

(First)

(Middle)

(Zip)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year)

07/05/2017

4. Relationship of Reporting

Person(s) to Issuer

5. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Form filed by More than One

Filed(Month/Day/Year)

C/O ALEXANDRIA REAL ESTATE EQUITIES, INC, 385 EAST COLORADO BLVD, **SUITE 299** 

(Street)

(State)

Other

10% Owner \_X\_ Director Officer (give title below) (specify below)

(Check all applicable)

PASADENA, CAÂ 91101

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(City)

(Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

Ownership Form:

4. Nature of Indirect Beneficial

Reporting Person

Person

Ownership (Instr. 5)

ALEXANDRIA REAL ESTATE EQUITIES INC [ARE]

Direct (D) or Indirect

(Instr. 5)

Common Stock Ι 400 By Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying

Ownership Conversion

6. Nature of Indirect Beneficial Ownership

**Derivative Security** or Exercise Form of (Instr. 5)

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(Instr. 4) Price of Derivative Derivative Security: Date **Expiration Title** Amount or Security Direct (D) Exercisable Number of or Indirect Shares (I) (Instr. 5)

# **Reporting Owners**

Relationships

Reporting Owner Name / Address

Director 10% Owner Officer Other

Woronoff Michael A C/O ALEXANDRIA REAL ESTATE EQUITIES, INC 385 EAST COLORADO BLVD, SUITE 299 PASADENA, CAÂ 91101

X Â Â Â

# **Signatures**

/s/ Eric Steiner, Attorney-in-Fact

07/07/2017

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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