### Edgar Filing: ALEXANDRIA REAL ESTATE EQUITIES INC - Form 4

#### ALEXANDRIA REAL ESTATE EQUITIES INC

Form 4 May 23, 2016

# FORM 4

# OMB APPROVAL UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB

Washington, D.C. 20549

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*\*
RICHARDSON JAMES H

2. Issuer Name and Ticker or Trading

Symbol

ALEXANDRIA REAL ESTATE EQUITIES INC [are]

5. Relationship of Reporting Person(s) to Issuer

Number:

Expires:

response...

Estimated average

burden hours per

(Check all applicable)

(Last) (First)

rst) (Middle)

3. Date of Earliest Transaction

(Month/Day/Year) 05/19/2016

\_X\_ Director \_\_\_\_ Officer (give title below)

2 \_\_\_\_\_ 10% Owner Other (specify

3235-0287

January 31,

2005

0.5

C/O ALEXANDRIA REAL ESTATE EQUITIES, INC, 385 E. COLORADO BLVD., SUITE 299

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

 $6. \ Individual \ or \ Joint/Group \ Filing (Check$ 

Applicable Line)

\_X\_ Form filed by One Reporting Person \_\_\_ Form filed by More than One Reporting

Person

PASADENA, CA 91101

Common

Stock

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) any (Month/Day/Year)

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of 6
Securities F
Beneficially (I
Owned In
Following (I

Reported

Transaction(s)

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

Code V Amount (D) Price

nt (D) Price (Instr. 3 and 4)

\$ 2,500 D 92.98 70.000

(A)

(1)

70,000 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

05/19/2016

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

SEC 1474 (9-02)

number.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	e and	8. Price of	9. Nu
	Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D	ate Amou		nt of	Derivative	Deriv
	Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
	(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8) Derivative Securities				Securi	ities	(Instr. 5)	Bene
		Derivative							(Instr. 3 and 4)			Own
		Security				Acquired						Follo
		•				(A) or						Repo
						Disposed						Trans
					of (D) (Instr. 3,							(Instr
						4, and 5)						
										A		
										Amount		
						I (A) (D)	Date Exercisable	Expiration Date	Title	or Namelana		
										Number		
					C 1 W					of		
					Code V	(A) (D)				Shares		

# **Reporting Owners**

Relationships Reporting Owner Name / Address

Officer Director Other Owner

RICHARDSON JAMES H C/O ALEXANDRIA REAL ESTATE EQUITIES, INC 385 E. COLORADO BLVD., SUITE 299 PASADENA, CA 91101

X

## **Signatures**

/s/ Eric Steiner, 05/23/2016 Attorney-in-fact

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The shares were sold at prices ranging from \$92.90 to \$93.00. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2