Smith Lee Matthew Form 4 May 29, 2018

# FORM 4

Common Stock

#### OMB APPROVAL

| FUNIV  | UNITED S  | TATES SECUR |   |  |        | NGE (   | COMMISSION   | CIVID  | 3235-0287   |  |
|--|---|-------------|---|--|--------|---------|--|--|---|--|
| if no long<br>subject to<br>Section 10<br>Form 4 or<br>Form 5<br>obligation<br>may conti | Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction  Washington, D.C. 20549  Washington, D.C. 20549  STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES  Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 |             |   |  |        |         | Expires: January 31, 2005 Estimated average burden hours per response 0.5                      |  |   |  |
| 1(b).  |   |             |   |  |        |         |  |  |   |  |
| (Print or Type R   | Responses)  |             |   |  |        |         |  |  |   |  |
| Smith Lee Matthew Sy:  |   |             | 2. Issuer Name and Ticker or Trading Symbol FLAGSTAR BANCORP INC  |  |        |         | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)                       |  |   |  |
|  | (Last) (First) (Middle) 3. D  |             |   | [(NYSE:FBC)] 3. Date of Earliest Transaction (Month/Day/Year) 05/24/2018 |        |         |  | Director 10% Owner Selection Other (specify below)  Executive Vice President & COO |   |  |
| TROY, MI   | (Street) 48098  |             | ndment, Dat<br>th/Day/Year)   | _  |        |         | 6. Individual or J Applicable Line) _X_ Form filed by Form filed by Person                     |  | erson   |  |
| (City)   | (State) (Z  | Zip) Tabl   | e I - Non-D   | erivative S  | Securi | ties Ac | quired, Disposed o   | of, or Beneficia   | lly Owned   |  |
| 1.Title of<br>Security<br>(Instr. 3)   | Title of 2. Transaction Date 2A. Deemed curity (Month/Day/Year) Execution Date, if  |             | 3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)  (A) or |  |        |         | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4)               | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |  |
| Flagstar<br>Bancorp,<br>Inc.<br>Common<br>Stock  | 05/24/2018  |             | Code V  | Amount 2,475   |        |         | (Instr. 3 and 4)<br>103,338  | D  |   |  |
| Flagstar<br>Bancorp,<br>Inc.   | 05/24/2018  |             | F(1)  | 708  | D      | \$ 0    | 102,630  | D  |   |  |

#### Edgar Filing: Smith Lee Matthew - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | Code   | 5. Number iomf Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  | 8. Pr<br>Deriv<br>Secu<br>(Inst |
|---|---|---|--------|---|--|--------------------|---|--|---------------------------------|
|   |   |   | Code V | 7 (A) (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |                                 |
| Restricted<br>Stock<br>Units (16)                   | (2)   | 05/24/2018                              | M      | 2,475   | (3)  | (3)                | Flagstar<br>Bancorp,<br>Inc.<br>Common<br>Stock               | 2,475                                  | \$                              |

# **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |                                |       |  |  |  |
|--|---------------|-----------|--------------------------------|-------|--|--|--|
| . 9  | Director      | 10% Owner | Officer                        | Other |  |  |  |
| Smith Lee Matthew<br>C/O FLAGSTAR BANCORP INC.<br>5151 CORPORATE DRIVE<br>TROY, MI 48098 |               |           | Executive Vice President & COO |       |  |  |  |

## **Signatures**

/s/ Jan M. Klym, by Power of Attorney for Mr.
Smith
05/29/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were surrendered to the issuer to cover the tax obligations on shares for which restrictions have lapsed.
- (2) Each restricted stock unit represents a contingent right to receive one share of Flagstar Bancorp, Inc. Common Stock.

**(3)** 

Reporting Owners 2

### Edgar Filing: Smith Lee Matthew - Form 4

The restricted stock units were granted on May 24, 2016, and will vest 25% on both the first and second anniversary of the grant date, and the remaining 50% on the third anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.