Edgar Filing: UNITED NATURAL FOODS INC - Form 4

| | ATURAL FOOD | S INC | | | | | | | | | |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------|----------------------------------------------------|---------------------------------------|---------------------------------------|----------------------------------------|-------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|----------------------------------------------------------------------------|-------------------------------------------------------------------|--|
| Form 4 October 17, | 2013 | | | | | | | | | | |
| FORM | ЛЛ | CT A TEC | SECU | DITIES | A NID EV | CIL | NCE CO | OMMISSION | | PROVAL | |
| | UNITED | STATES | | | AND EA n, D.C. 2 | | ANGE CO | JIVIIVIISSIUN | OMB Number: | 3235-0287 | |
| Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations Filed pursuant to Section 1 Section 17(a) of the Public U | | | | SECU | RITIES | ities l | Exchange | Act of 1934, | Expires: Estimated a burden hou response | urs per | |
| may con <i>See</i> Instr 1(b). | iunue. | | | • | • | - | ct of 1940 | | I | | |
| (Print or Type | Responses) | | | | | | | | | | |
| Traficanti Joseph J Symbol UNITE | | | D NATU | nd Ticker o URAL FO | |] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | |
| (Last) | (First) (| Middle) | [UNFI] 3. Date of Earliest Transaction Director | | | | | 10% Owner | | | |
| C/O UNITI | ED NATURAL F RON HORSE W | OODS, | | Day/Year) | Transaction | L | - - | X Officer (give below) | | er (specify | |
| PROVIDE | (Street) NCE, RI 02908 | | | endment, I nth/Day/Ye | Date Origin ar) | al | - | 6. Individual or Joi Applicable Line) _X_ Form filed by O Form filed by M Person | ne Reporting Pe | rson | |
| (City) | (State) | (Zip) | Tah | le I - Non | -Derivativa | • Secu | | ired, Disposed of, | or Beneficial | lv Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | | ied Date, if | 3. Transacti Code (Instr. 8) | 4. Securi iomr Dispo (Instr. 3, | ties A sed of 4 and (A) or | cquired (A) (D) | | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common Stock | 10/16/2013 | | | М | 3,620 | А | \$ 33.9 | 8,725 | D | | |
| Common Stock | 10/16/2013 | | | М | 3,435 | А | \$ 37.82 | 12,160 | D | | |
| Common Stock | 10/16/2013 | | | S | 8,730 | D | \$ 68.9409 (1) | 3,430 | D | | |
| Common Stock | | | | | | | | 621 | Ι | See footnote (2) | |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Number out Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|----------------------------------------|------------------------------------------------------------------------------------------------------------------|-------|----------------------------------------------------------------|-----------------------|---------------------------------------------------------------------|----------------------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Employee Stock Option (right to buy) | \$ 33.9 <u>(3)</u> | 10/16/2013 | | М | | 3,620 | <u>(4)</u> | 09/10/2020 <u>(4)</u> | Common Stock | 3,620 |
| Employee Stock Option (right to buy) | \$ 37.82 (<u>3)</u> | 10/16/2013 | | М | | 3,435 | <u>(4)</u> | 09/12/2021 <u>(4)</u> | Common Stock | 3,435 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|-----------------------------------------------------------------------------------------------------|---------------|-----------|---------------------------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| Traficanti Joseph J C/O UNITED NATURAL FOODS, INC. 313 IRON HORSE WAY PROVIDENCE, RI 02908 | | | SVP, General Counsel, CCO | | | |
| Signatures | | | | | | |
| Lisa N'Chonon, Power-of-Attorney, | | | | | | |
| in fact | 10/17 | 7/2013 | | | | |
| <u>**</u> Signature of Reporting Person | I | Date | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transaction price listed is a weighted average. Actual sale prices for these dispositions ranged from \$68.85 to \$69.12.

Includes 290 shares of common stock allocated to the reporting person under the United Natural Foods, Inc. Employee Stock Ownership(2) Plan and 331 shares of common stock allocated to the reporting person under the United Natural Foods, Inc. 401(k) Plan's UNFI Stock Fund as of October 15, 2013.

- (3) United Natural Foods, Inc.'s closing price on the NASDAQ National Market on the date of grant.
- (4) The stock options vest and becomes exercisable in four equal annual installments beginning on the first anniversary of the date of grant, and expire on the tenth anniversary of the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.