

Edgar Filing: DUNES HOTELS & CASINOS INC - Form 5

DUNES HOTELS & CASINOS INC

Form 5

April 06, 2001

U. S. SECURITIES AND EXCHANGE COMMISSION

FORM 5

Check box if no longer subject to Section 16.
Form 4 or Form 5 obligations may continue.
See instruction 1(b).

Washington, D.C. 20549

Form 3 Holdings Reported.

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERS

Form 4 Transactions Reported.

Filed pursuant to Section 16(a) of the Securities Exchange Act of
Utility Holding Company Act of 1935 or Section 30(f) of the In

1. Name and Address of
Reporting Person*

2. Issuer Name and Ticker or Trading Symbol

6. Re
Is

Dunes Hotels and Casinos, Inc.

___ Di

(Last) (First) (Middle)

3. IRS or Social Security
Number of Reporting
Person (Voluntary)

4. Statement for
Month/Year

___ Of
(g
b

General Financial Services, Inc.

12/2000

(Street)

5. If Amendment, Date of
Original (Month/Year)

7. Indi
(Che

8441 E. 32nd Street N

2/2001

___ Fo
Pe
X Fo
--- Re

(City) (State) (Zip)

Table 1 - Non-Derivative Securities Acquired, Disposed of

Wichita, Kansas 67226

1. Title of Security
(Instr. 3)

2. Trans-
action
date:
(Month/
Day/
Year)

3. Trans-
action
Code
(Instr. 8)

4. Securities Acquired (A)
or Disposed of (D)
(Instr. 3, 4 and 5)

5. Amount of
Securities
Beneficial
Owned at
End of Iss
Fiscal Yea
(Instr. 3
and 4)

Amount (A) or Price
(D)

Series B Preferred Stock

08/11/00

P

120

A

\$20.0000

120

Common Stock

08/04/99

P5

10,000

A

\$0.6875

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Common Stock	12/09/99	P5	2,500	A	\$0.8000	
Common Stock	04/06/00	P	500	A	\$1.0000	
Common Stock	05/01/00	P	5,000	A	\$0.6560	
Common Stock	09/25/00	P	2,500	A	\$0.6875	903,922
Common Stock						3,000,000

* If the Form is filed by more than one Reporting Person, see instruction 4(b)(v).
 Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. (Print or Type Re

FORM 5 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned options, convertible securities)

1. Title of Derivative Security (Inst. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)
				(A) (D)	Date Exer- cisable	Expira- tion Date	Title Amount or Number of Shares

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Explanation of Responses: (1) The 120 shares of Series B preferred stock are beneficially owned by General Financial Services, Inc. ("GFS"). Steve Miller is the sole stockholder, officer and director of GFS and as a result beneficially owns the shares of common stock of GFS. (2) The 903,922 shares of common stock of GFS Acquisition Company, Inc. ("GFS Acquisition"). GFS is the sole stockholder of GFS Acquisition. Mr. Miller is the sole officer and director of GFS Acquisition. GFS beneficially owns the 903,922 shares of common stock of GFS Acquisition as a result of his ownership of GFS and his position as the sole officer and director of GFS and GFS Acquisition. Steve Miller beneficially owns the 903,922 shares of common stock of GFS Acquisition as a result of his ownership of GFS and his position as the sole officer and director of GFS and GFS Acquisition. Steve Miller is the sole stockholder and as a result beneficially owns the shares of common stock beneficially owned by GFS.

/s/ Steve K. Miller

Steve K. Miller

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

GENERAL FINANCIAL SERVICES, INC.

By: /s/ Steve K. Miller

Steve K. Miller, President

GFS Acquisition Company, Inc.

By: /s/ Steve K. Miller

Steve K. Miller

Form 5

Reporting Person
General Financial Services, Inc.

Date of Event Requiring Statement
Year 2000

Issuer Name
Dunes Hotels and Casinos Inc.

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List of Other Joint Filers

Names and Addresses

Steve K. Miller; 8441 E. 32nd Street N, Wichita, Kansas 67226

GFS Acquisition Company, Inc., 8441 E. 32nd Street N, Wichita, Kansas 67226