

Golden Minerals Co  
Form 4  
September 12, 2014

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Sentient Global Resources Fund IV,  
L.P.

(Last) (First) (Middle)

LANDMARK SQUARE, 64 EARTH  
CLOSE, WEST BAY BEACH  
SOUTH

(Street)

GEORGE TOWN, GRAND  
CAYMAN, E9 KY1-1107

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
Golden Minerals Co [AUMN]

3. Date of Earliest Transaction  
(Month/Day/Year)

09/10/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_X\_\_\_ 10% Owner  
\_\_\_ Officer (give title below) \_\_\_ Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_\_\_ Form filed by One Reporting Person  
\_X\_ Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
common stock	09/10/2014	09/10/2014	P	V Amount 5,800,000 (A) or (D) A Price \$ 0.817	10,283,944	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

## Edgar Filing: Golden Minerals Co - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount of Underlying Securities (Instr. 3 and 4)
				Code V	(A) (D)	Date Exercisable Expiration Date	Title	Amount of Underlying Securities (Instr. 3 and 4)
Warrants	\$ 7.17 <sup>(1)</sup>					03/20/2013 09/19/2017	common stock	682,
Warrants	\$ 7.17 <sup>(2)</sup>	09/10/2014		P	119,352	09/10/2014 09/19/2017	common stock	119,
Warrants	\$ 1.21 <sup>(3)</sup>	09/10/2014		P	2,900,000	03/11/2015 09/10/2019	common stock	2,900,

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Sentient Global Resources Fund IV, L.P. LANDMARK SQUARE, 64 EARTH CLOSE WEST BAY BEACH SOUTH GEORGE TOWN, GRAND CAYMAN, E9 KY1-1107			X	
SENTIENT GP IV, LP P O BOX 10795 GRANDY CAYMAN KY1-1007, E9 00000				GP of 10% owner
Sentient Executive GP IV, Ltd P O BOX 10795 GRAND CAYMAN, E9 KY1-1007				GP of GP of 10% owner

## Signatures

/s/ Andrew Pullar 09/12/2014

Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents re-pricing of Warrants due to anti-dilution adjustment.
- (2) Represents additional Warrants issued as part of anti-dilution adjustment.
- (3) Warrant acquired as part of Units at a Unit price of \$0.817. Each Unit consists of one share of common stock and a warrant to purchase one-half share of common stock at an exercise price of \$1.21 per full share of common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.