

Korman Alan S
Form 4
February 26, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
Korman Alan S

2. Issuer Name **and** Ticker or Trading
Symbol
COLUMBUS MCKINNON CORP
[CMCO]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

205 CROSSPOINT PARKWAY

(Street)

GETZVILLE, NY 14068

(City)

(State)

(Zip)

3. Date of Earliest Transaction
(Month/Day/Year)
02/22/2019

4. If Amendment, Date Original
Filed(Month/Day/Year)

____ Director ____ 10% Owner
____X____ Officer (give title ____ Other (specify
below) below)
VP, Gen'l Counsel & Secretary

6. Individual or Joint/Group Filing(Check
Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock					15,710.5695	D	
Common Stock	02/22/2019		M		59	A	\$ 18.63
Common Stock	02/22/2019		S		46	D	\$ 37.8
Common Stock	02/25/2019		M		3,217	A	\$ 18.63
Common Stock	02/25/2019		S		2,594	D	\$ 37.9

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Common Stock	02/25/2019	M	3,230	A	\$ 19.5	19,576.5695	D
Common Stock	02/25/2019	S	2,528	D	\$ 37.9	17,048.5695 (1)	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Security (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-Qualified Stock Options (Right to Buy)	\$ 18.63	02/22/2019		M		59		01/24/2014	01/23/2021	Common Stock	59
Non-Qualified Stock Options (Right to Buy)	\$ 18.63	02/25/2019		M		3,217		01/24/2014	01/23/2021	Common Stock	3,217
Non-Qualified Stock Options (Right to Buy)	\$ 19.5	02/25/2019		M		3,230		05/23/2012	05/22/2021	Common Stock	3,230

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
Korman Alan S 205 CROSSPOINT PARKWAY GETZVILLE, NY 14068	VP, Gen'l Counsel & Secretary

Signatures

Alan S. Korman

02/26/2019

 Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes 11,739.5695 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 499.5600 shares become fully vested and non-forfeitable on 5/18/2019; 2,948.4890 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/23/2019; 2,783.6016 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/22/2019;
(1) 2,818.4444 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/22/2019, and the remaining 2,689.4745 shares become fully vested and non-forfeitable 50% per year for two years beginning 11/15/2019, if reporting person remains an employee of issuer.

(2) All exercisable, subject to IRS limitations.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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