Edgar Filing: TEVENS TIMOTHY T - Form 4

TEVENS TI Form 4	MOTHY T										
May 21, 201	2										
FORM	CURITIES AND EXCHANGE COMMISSION					OMB APPROVAL					
UNITED STATES SECU				shington,			NGLU	0111111551014	OMB Number:	3235-0287	
Check th if no lon subject to Section 2 Form 4 c Form 5	ger o STAT 16. or Filed	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP O SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934								January 31, 2005 Iverage rs per 0.5	
obligatio may con <i>See</i> Instr 1(b). (Print or Type 2	tinue. uction		Public Ut) of the In	•	•	· ·		1935 or Section 0	1		
		*									
1. Name and Address of Reporting Person <u>*</u> TEVENS TIMOTHY T			Symbol	Name and			0	5. Relationship of Reporting Person(s) to Issuer			
			COLUMBUS MCKINNON CORP [CMCO]					(Check all applicable)			
(Month/D				of Earliest Transaction /Day/Year)				_X_ Director 10% Owner _X_ Officer (give title Other (specify below) below)			
PARKWAY	JAMES AUD Y	UBON	05/17/20	012				Pres	ident & CEO		
				endment, Date Original nth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
AMHERST	, NY 14228-1	197						Form filed by M Person	ore than One Re	porting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction (Month/Day/Y	ansaction Date 2A. Deemed hth/Day/Year) Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquired Transactior(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or Code V Amount (D) Price			d of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock								167,638 <u>(1)</u>	D		
Common Stock	05/17/2012			F	926 <u>(2)</u>	D	\$ 13.4	166,712 <u>(1)</u>	D		
Common Stock	05/17/2012			F	2,477 (2)	D	\$ 13.4	164,235 <u>(1)</u>	D		
Common Stock	05/18/2012			F	2,174 (2)	D	\$ 13.31	162,061 <u>(1)</u>	D		
Common Stock								5,918.8745 (3)	D		

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Common Stock					7,	.000	I	By spouse					
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.													
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)													
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. TransactionNumber Code of (Instr. 8) Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)				7. Title and Amount of Underlying Securities (Instr. 3 and 4)					
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Incentive Stock Options (Right to Buy)	\$ 5.46					05/17/2005	05/16/2014	Common Stock	95,000				
Incentive Stock Options (Right to Buy)	\$ 28.45					05/19/2009	05/18/2018	Common Stock	8,770				
Non-Qualified Stock Options (Right to Buy)	\$ 13.27					05/18/2010	05/17/2019	Common Stock	45,172				
Non-Qualified Stock Options (Right to Buy)	\$ 18.24					05/17/2011	05/16/2020	Common Stock	33,190				
Non-Qualified Stock Options (Right to Buy)	\$ 19.5					05/23/2011	05/22/2021	Common Stock	31,902				

Reporting Owners

 Reporting Owner Name / Address
 Relationships

 Director
 10% Owner
 Officer
 Other

 X
 X
 X
 X

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TEVENS TIMOTHY T 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228-1197

President & CEO

Signatures

Mary C. O'Connor, Power of Attorney for Timothy T. Tevens

**Signature of Reporting Person

05/21/2012

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes 42,313 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 6,028 shares become fully vested and non-forfeitable 5/18/2013; 3,086 shares become fully vested and non-forfeitable 50% per year for two years beginning

- (1) 5/19/2012, 2,566 shares become fully vested and non-forfeitable 5/17/2013, 13,736 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/17/2013, and the remaining 16,897 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
- (2) Represents shares withheld to satisy tax withholding obligation upon vesting of 9,435 restricted stock units on 5/17/202 and 6,027 restricted stock units on 5/18/2012.
- (3) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employoee Stock Ownership Plan, as amended (the "ESOP").
- (4) Originally a 125,000 incentive stock option grant, reporting person exercised 30,000 options on 5/27/2009. The remaining 95,000 options are fully exercisable, subject to IRS limitations.
- (5) Exercisable 25% per year for four years, beginning 5/19/09, if reporting person remains an employee of issuer.
- (6) Exercisable 25% per year for four years beginning 5/18/2010, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.
- (8) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.